PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

Application Number 09/752,957 **TRANSMITTAL** Filing Date **FORM** January 2, 2001 First Named Inventor (To be used for all correspondence after initial filing) David SHIUAN Group Art Unit To Be Assigned **Examiner Name** To Be Assigned Total Number of Pages in This Submission Attorney Docket Number 101198-3

ENCLOSURES (check all that apply)		
	ENGLOSORES (Check all that apply)
☐ Fee Transmittal Form	☐ Assignment Papers	
☐ Fee Attached	(for an Application)	☐ After Allowance Communication
	(Tor an Application)	to Group
☐ Amendment / Response	☐ Drawing(s)	1
☐ After Final	Li Diawing(s)	☐ Appeal Communication to Board
	D Lippnoine autota d D	of Appeals and Interferences
☐ Affidavits/declaration(s)	☐ Licensing-related Papers	1_
and a design and the second and the	☐ Petition	☐ Appeal Communication to Group
☐ Extension of Time Request	Li Peudon	(Appeal Notice, Brief, Reply Brief)
- Time Request	To comment a D	
☐ Express Abandonment Request	☐ To convert a Provisional	☐ Proprietary Information
	Application	
☐ Information Disclosure Statement	D Davis of All	☐ Status Letter
- manualion biodiosare Statement	Power of Attorney, Revocation	
☐ Certified Copy of Priority	Change of Correspondence Address	☑ Additional Enclosure(s)
Document(s)	Address	-(please identify below):
	□ Torminal Disal	return receipt postcard; two (2)
☐ Response to Missing Parts/	☐ Terminal Disclaimer	computer readable copies of the
Incomplete Application	Const Fadit Of t	Sequence Listing; paper copy of
prote / pproducti	☐ Small Entity Statement	Sequence Listing; copy of Notice to
☐ Response to Missing Parts	D Population Defect	Comply with Requirements
under 37 CFR 1.52 or 1.53	☐ Request for Refund	Somply with residenteries
	Remarks:	
	Remarks.	•
SIGNATU	RE OF APPLICANT, ATTORNEY, OR	AGENT
	· · · · · · · · · · · · · · · · · · ·	AGENT
Firm or	Theodore A. Gottlieb	
Individual name	NORRIS MICLAUGHLIN & MARCUS, F	ο Δ
Signature	M 1 h	
	1/110th / house	Reg. No. 42,597
Date	September 20, 2002	3
CERTIFICATE OF MAILING		
hard bearing the control of the cont		
nereby certify that this correspondence is b	eing deposited with the United States Posta	Service as first class mail in an
The state of the s	ademark Office, box Sequence, P.O. Box 2	327, Arlington, VA 22202.
Typed or printed name Vilma I. Fernandez		
Signature	- 5/	Date September 20, 2002
rden Hour Statement Til	- 7	
e you are required to complete this form should be continued.	ours to complete. time will vary depending upon the needs the Chief Information Officer, Patent and Trademark Office	of the individual case. Any comments on the amount of
e you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR		



Atty's Docket No.: 101198-3

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231, on September 20, 2002.

Vilma I. Fernandez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO. 09/752,957

APPLICANT David SHIUAN

FILED January 2, 2001
EXAMINER To Be Assigned

EXAMINER To Be Assigned ART UNIT To Be Assigned

FOR YEAST WITH HIGH BIOTIN PRODUCTIVITY AND THE

PREPARATION METHOD THEREOF

September 20, 2002

U.S. Patent and Trademark Office Box Sequence P.O. Box 2327 Arlington, VA 22202.

RESPONSE to NOTICE TO COMPLY WITH REQUIREMENTS

Sir:

This communication is in response to the Notice to Comply With Requirements

For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence

Disclosures, dated September 13, 2002.

Enclosed herewith are (i) two computer readable copies of the sequence, (ii) a paper copy of the sequence listing, and (iii) a copy of the above-referenced Notice.

CONDITIONAL PETITION FOR EXTENSION OF TIME

If any extension of time for this response is required, Applicants request that this be considered a petition therefore. Please charge the required fee to Deposit Account No. 14-1263.

ADDITIONAL FEES

Please charge any further insufficiency of fees, or credit any excess to Deposit Account No. 14-1263.

REMARKS

The computer readable diskettes contain amended SEQ ID NO. 1. The diskettes and the accompanying paper copy were formatted and printed using the PTO's Patent In version 3.1software. Applicants believe in good faith that the amended sequence listings comply with office guidelines.

In addition, the undersigned asserts, according to 37 CFR § 1.821(f), that the diskette and the paper copy of the amended sequence listing are identical to each other. Further, neither one, nor both combined, add any new matter to the application as originally submitted.

Respectfully submitted,

NORRIS, MCLAUGHLIN & MARCUS

Theodore A. Gottlieb, Ph.D.

(Reg. No. 42,597) 220 East 42nd Street

New York, NY 10017

telephone (646) 487-5675

facsimile (212) 808-0844



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 20231 Www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/752,957

01/02/2001

David Shiuan

101198-3

27387 BRUCE LONDA NORRIS, MCLAUGHLIN & MARCUS, P.A. 220 EAST 42ND STREET, 30TH FLOOR NEW YORK, NY 10017 CONFIRMATION NO. 9947
FORMALITIES LETTER
***C000000008784458**

Date Mailed: 09/13/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY